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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/751,203	12/31/2003	James S. Petrek	03R-2	3389
7590	02/21/2006		EXAMINER	
Robert R. Meads c/o Rainin Instrument, LLC 7500 Edgewater Drive Oakland, CA 94621				GORDON, BRIAN R
		ART UNIT	PAPER NUMBER	
		1743		

DATE MAILED: 02/21/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/751,203	PETREK ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	Brian R. Gordon	1743	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### **Status**

1) Responsive to communication(s) filed on 11-30-06.  
 2a) This action is **FINAL**.                    2b) This action is non-final.  
 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### **Disposition of Claims**

4) Claim(s) 1-20 is/are pending in the application.  
 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
 5) Claim(s) \_\_\_\_\_ is/are allowed.  
 6) Claim(s) 1-9 and 18-20 is/are rejected.  
 7) Claim(s) 10-17 is/are objected to.  
 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### **Application Papers**

9) The specification is objected to by the Examiner.  
 10) The drawing(s) filed on 12-31-03 is/are: a) accepted or b) objected to by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  
 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### **Priority under 35 U.S.C. § 119**

12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
 a) All    b) Some \* c) None of:  
 1. Certified copies of the priority documents have been received.  
 2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### **Attachment(s)**

1) Notice of References Cited (PTO-892)  
 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  
 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
 Paper No(s)/Mail Date \_\_\_\_\_.

4) Interview Summary (PTO-413)  
 Paper No(s)/Mail Date. \_\_\_\_\_.  
 5) Notice of Informal Patent Application (PTO-152)  
 6) Other: \_\_\_\_\_.

## DETAILED ACTION

### ***Response to Arguments***

1. Applicant's arguments filed December 9, 2005 have been fully considered but they are not persuasive. In reference to claim 1, applicant states the references do not disclose:

"a volume adjusting means (22) for axially moving a volume setting member (32) and comprising fine (35) and coarse (34) volume setting means respectively responsive to relatively large and small turnings of a volume adjusting member (33) to sequentially move the volume setting member (32) relatively small and large distances respectively, as defined in Applicants claim 1."

Applicant arguments are not commensurate in scope with that of the claims. Claim 1 does specify an axially moveable volume setting member in the housing. However it is not stated that the member comprises fine and coarse volume setting means as asserted by applicant. Applicant also states the coarse fine adjustment of the '298 patent to occur via knob 5. The argument is not commensurate in scope for there is no knob claimed or mentioned in the claim. Applicant is also relying on the functionality and intended use of the fine and coarse volume settings. The degree of turning (small or large) is relative. There is no numerical value claimed for one to

determine what is small or large. The only requirement is that both respond to turning the volume adjusting member. It should be noted, there is no volume adjusting member positively claimed. There is a volume adjusting setting member and volume adjusting means. It is also not clear if the fine and course settings means is one or two separate elements. As claimed it appears as if it could possibly be one element which may be designed to function as both. It is unclear if the volume adjusting setting member is one element or the combination of elements that are used to set the volume. For the purpose of examination the examiner assumes the latter. Furthermore the order in which the devices are turned is directed to intended use. As such, the examiner asserts Tervamaki meets the limitations of the claim for the device comprises volume setting assembly comprising a calibration sleeve 9 (a turnable volume setting member) on threading 8 and flange 22 (a turnable volume setting member) in combination with threading 21. The axial movement of the elements is determined relative to the pitch of the respective threading.

As to the rejection based upon US 2002/044183, applicant states: "A separate system is employed to adjust a lower stop. In particular, a lower stop (upper surface of retainer 10) is adjusted axially by a turning of a fine adjustment sleeve 12. Thus, there is no teaching in the patent publication of a sequential fine and course volume adjustment in response to a turning of a volume adjustment member."

As stated above the claim does not positively claim "a volume adjustment member" as an element of the invention. Furthermore it is said that the elements are in response to a volume adjustment member. Such a statement and claimed language

does not mean both respond to the same volume adjustment member. Therefore, the claimed language does not preclude each from responding to separate or different elements.

For the reasons given herein the previous rejections are hereby maintained.

***Specification***

1. The lengthy specification has not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification.

***Claim Rejections - 35 USC § 102***

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. Claims 1-3, 6-9, and 18-20 are rejected under 35 U.S.C. 102(b) as being anticipated by Tervamaki et al. US 4,466,298.

Tervamaki et al. disclose a pipette which comprises a frame portion (1) shaped as a handle, a cylinder being formed inside the frame portion (1), as well as a piston (5) fitted into the cylinder by means of a seal ring (7), a piston rod (4) connected to the piston (3), as well as a press knob (5) with shaft (6) (volume adjusting means), fitted at the upper end of the frame portion (1). Around the shaft (6) of the press knob (5), a calibration sleeve (9) is fitted by means of a threaded joint (8) (second retainer defining

lower limit), by means of which calibration sleeve (9) (nut) the lower limit of the movement of the piston (3) during pipetting can be determined. According to the invention, the calibration sleeve (9), as surrounded by the mantle (10) of the hollow press knob (5) and by the upper part of the pipette frame (1), is placed at a distance both from the mantle (10) of the press knob (5) and from the inner face of the cover of the upper part of the pipette frame (1). Thereby the hollow press knob (5) and the pipette frame (1) form a cover protecting from conducted heat and allow a space of air around the adjustment and calibration sleeve (9) (abstract).

Specifically A sleeve 20 is fitted by means of a threaded joint 21 (first retainer), around the shaft 6 of the press knob. Inside the frame portion 1 of the pipette, there is an annular limiter flange 22, against whose lower face the sleeve 20 (nut) rests by means of its upper face. In this way, the sleeve 20 together with the annular flange 22 determines the upper position of the piston 3.

Above the adjustment thread 21 of the press knob shaft 6, on the press knob shaft 6 there is the calibration thread 8. The diameter and the pitch of the calibration thread 8 (second adjustable retainer mechanism) may be either the same as or different from those of the adjustment thread 21 (including smaller or larger and ranges, establishing fine and coarse volume setting means). By means of the calibration thread, a calibration sleeve 9 is fitted around the shaft 6 of the press knob 5, by means of which sleeve 9 the lower limit of the movement of the piston 3 during pipetting can be determined. As surrounded by the mantle 10 of the hollow press knob 5 and by the upper portion of the pipette frame 1, the calibration sleeve 9 is placed at a distance both

from the mantle 10 of the press knob 5 and from the inner face of the cover of the upper part of the pipette frame 1. In such a case, the hollow press knob 5 and the pipette frame 1 constitute a protective cover against conducted heat and allow a sufficient air space around the adjustment and calibration sleeve 9. For the secondary movement of the pipette, an annular flange 11 is fitted in the annular space between the calibration sleeve 9 and the pipette frame 1, around the sleeve 9, which annular flange 11 is pressed downwards against a limiter flange 14 placed at the lower portion of the calibration sleeve 9.

4. Claims 1-3, 6-9, and 18-20 are rejected under 35 U.S.C. 102(b) as being anticipated by Telimaa et al. US 2002/0041833.

Telimaa et al. disclose adjustable piston pipettes comprise an upper and lower retainer of the piston movement, the location of at least one of said retainers can be changed. Generally the adjustment is realized by means of a screw-and-nut joint, for instance so that the retainer is provided with a screw, around which there is arranged a nut that does not rotate in relation to the housing but moves along guides along with the piston. The pitch of the screw threading defines the pace of the adjustment. Typically there are used 8-20 revolutions in the adjustment, depending on the size of the volume range.

The pipette comprises two retainers of the piston motion, based on adjusted threadings. One of the retainers has a range adjusting thread with a large pitch for rapidly choosing the desired volume range. The other retainer has a fine adjustment thread with a smaller pitch for accurately setting the desired volume (coarse and fine

volume setting means).

When adjusting the suction volume, the shaft 3 is first turned by the knob 4 until the desired range is achieved. This adjustment sets the upper limit for the suction motion of the piston 2. Owing to the high pitch of the threading 5, this operation is always carried out swiftly. The fine adjustment is then performed by turning the fine adjustment sleeve 12 by its top end. This operation sets the lower limit for the suction motion of the piston (page 3, first full paragraph).

5. Claims 1-9, and 18-20 are rejected under 35 U.S.C. 102(b) as being anticipated by Rainin et al. US 6,428,750.

Rainin et al. disclose a volume adjustable manual pipette having a hand-holdable housing supporting (i) an electronic digital display and associated position sensing and control circuitry, (ii) a plunger unit and (iii) a quick set volume adjustment mechanism for simultaneously controlling the volume setting of the pipette and the electronic display, the quick set volume adjustment mechanism comprising a pipette volume setting member for limiting upward movement of the plunger unit within the housing to define the volume setting for the pipette and the volume setting member being supported for axial movement on the plunger unit and releasably secured relative to the housing by a pipette user operable locking mechanism. When released from the housing, the volume setting member is axially moveable on and with the plunger unit to quickly set the volume for the pipette. When secured to the housing, the plunger unit is axially moveable relative to the volume setting unit to aspirate and dispense the selected volume of liquid into and from a pipette tip extending from a lower end of the housing.

The volume setting of the pipette is monitored by the sensing and control circuitry to provide a real time display of the volume setting of the pipette (abstract).

Basically, the quick set volume adjustment mechanism 22 comprises a volume setting member 32 for limiting upward axial movement of the plunger unit 20 in the housing 12 to define the volume setting for the pipette 10. The volume setting member 32 is supported for axial movement on the plunger unit 20 and is releasably secured to the housing 12 by a pipette user operable locking mechanism 34. When released from the housing, the volume setting member 32 is moveable axially on and with the plunger unit 20 to rapidly set the volume of the pipette 10. When the volume setting member 32 is secured by the locking mechanism 34 to the housing 12, the plunger unit 20 is moveable axially relative to the volume setting member 32 to aspirate and dispense the selected volume of liquid into and from the pipette tip 30 secured to shaft 31 extending from the housing 12.

Vertical movement of the volume setting member 32 produces a like vertical movement of the plunger unit 20 within the housing 12 since the flange member 42 of the plunger unit 20 is continuously urged by the return spring 36 upward against the bottom surface of the sleeve comprising the volume setting member 32. Accordingly, the plunger unit 20 follows any vertical adjustment of the volume setting member 32 within the housing. Such vertical movement of the volume setting member 32 and the plunger unit 20 is monitored by the sensor circuitry 16 which generates an electrical signal processed within the control circuitry 18 and visually displayed as a digital volume setting for the pipette on the display 14. Such a display rapidly depicts any changes in

the volume setting for the pipette 10 and is a real time monitor of the value of such volume settings (column 3, line 53 - column 5, line 3).

***Allowable Subject Matter***

6. Claims 10-17 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.
7. The following is a statement of reasons for the indication of allowable subject matter: the prior art does not teach or fairly suggest volume setting member comprises an axially extending screw having a relatively coarse thread; and the gear mechanism comprises a planetary gear mechanism including a planetary gear carrier on the screw comprising the volume setting member, a plurality of circumferentially spaced planetary gears on the carrier separately mating with an outer ring gear and an inner sun gear carried by the volume adjusting member mating with the plurality of planetary gears to produce a turning of the sun gear, planetary gears and volume setting member in response to a turning of the volume adjusting member to adjust the volume setting of the pipette.

***Conclusion***

8. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not

mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Brian R. Gordon whose telephone number is 571-272-1258. The examiner can normally be reached on M-F, with 2nd and 4th F off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jill Warden can be reached on 571-272-1267. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
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